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Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450

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I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Attorney Docket No. 03627/LH

Pursuant to 37 CFR 1.53(b), transmitted herewith for filing is the patent application of

Inventor(s): Akira FUKUSHIMA

CRAWLER FRAME FOR CONSTRUCTION MACHINE

Priority Claim (35 U.S.C. 119) is made, based upon:

Japanese Patent Application No. 2002-356088 filed December 6, 2002

ASSIGNMENT INFORMATION FOR PUBLICATION:

Komatsu Ltd.

2-3-6 Akasaka, Minatoku, Tokyo, 107-8414 Japan

Hitachi Construction Machinery Co., Ltd. 2-5-1 Koraku, Bunkyo-ku, Tokyo, 112-0004 Japan

Enclosed herewith are:

- [X] Specification (Description, Claims, Abstract): Pages 1 -35; Number of claims 1 -6
- Declaration and Power of Attorney [X] executed; [X]
- [X] 10 Sheets of drawings, Figures 1-10 [X] Formal
 - [] Informal
- Assignment and "Patents" Recordation Form Cover Sheet (PTO-1595) AND \$40. RECORDATION FEE. [X]
- [X] Certified copy (ies) of priority document(s) identified above
- [X]Information Disclosure Statement; [X] Form PTO/SB/08A
- [X] Preliminary Amendment
- Applicant(s) Claim(s) Small Entity Status
- [X]Change of Correspondence Address (Form PTO/SB/122)
- [X] Receipt Postcard

	Number Filed	Number Extra Rate	Calculations		
Total Claims	8 =	0 x \$18.00 =	\$0.00		
Independent Claims	=	$\underline{0} \qquad x \$86.00 =$	\$0.00		
		BASIC FEE	\$ 770.00		
		Total of above Calculations	\$ <u>770.00</u>		

To the extent not tendered by check, authorization is given to charge any fees under 37 CFR 1.16 and 1.17 during pendency of the application, or to credit any overpayment, to Deposit Account No. 06-1378. Duplicate copy of this letter is enclosed.

FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C.

LEONARD HOLTZ Reg. No. 22/5

LH:nps

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Approved for use through 10/31/2002. OMB 0651-0035 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Not Yet Assigned **Application Number** CHANGE OF **CORRESPONDENCE ADDRESS** Filing Date Concurrently Herewith Application First Named Inventor FUKUSHIMA, Akira Address to: Group Art Unit Not Yet Assigned **Commissioner for Patents** Not Yet Assigned **Examiner Name** P.O. Box 1450 Alexandria, VA 22313-1450 Attorney Docket Number | 03627/LH

to: [X] Customer Nu	spondence Address for the above-ions mber [01933] → De Customer Number here	dentified appl	lication					
[X] Firm or Individual Name	FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C.							
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	ne entire interest. Statement under	37 CFR 3.7	3(b) is	enclosed. (Form PT	O/SB/96).		
	cord. named in the application transmitta R 1.33(a)(1). Registration Number		applica	ition withou	ut an exe	cuted oath or		
Typed or Printed Name Leon	ard Holtz, Registration	on No. 22	2,974	1				
Signature	7							
November 20, 2003								
	tors or assignees of record of the e		or the	ir represent	tative(s)	are required.		